I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Signature and Deposit: July 20, 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Edward R. Chapman

Date:

July 20, 2005

Serial No.

10/695,577

Group Art Unit:

1645

Filed:

10/28/2003

Examiner:

Title:

BOTULINUM NEUROTOXIN B

File:

960296.99004

RECEPTORS AND USE THEREOF

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a completed Information Disclosure Statement by Applicant listing documents that the applicant in the above-identified application wishes to bring to the attention of the Examiner for consideration in connection with the examination on the merits of this application.

No fee is believed due in connection with this submission. However, if a fee is due, please charge the fee to Deposit Account No. 17-0055.

Respectfully submitted,

Zhibin Ren

Reg. No. 47,897

Attorney for Applicants

OUARLES & BRADY LLP

411 East Wisconsin Ave.

Milwaukee, WI 53202

TEL 414-277-5633

FAX 414-277-3552



U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitute for form 1449/PTO | Complete if Known | | |
|-----------------------------------|------------------------|-------------------|--|
| Substitute for form 1449/110 | Application Number | 10/695,577 | |
| INFORMATION DISCLOSURE | Filing Date · | October 28, 2003 | |
| | First Named Inventor | Edward R. Chapman | |
| STATEMENT BY APPLICANT | Art Unit | 1645 | |
| (Use as many sheets as necessary) | Examiner Name | N/A | |
| Sheet 1 of 2 | Attorney Docket Number | 960296.99004 | |

| | | | U. S. PATENT DO | | | |
|--------------------|--|---|--------------------------------|---|---|--|
| Examiner Cite No.1 | Cite No.1 | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant | |
| | | Number-Kind Code ^{2 (# known)} | | | Figures Appear | |
| | | US- | | | 1 | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | | | |
| | | US- | | P. Control of the state of the | _ | |
| | | US- | | | | |
| | | ÚS- | | | | |
| | | Us- | | | | |
| | - | US- | | | | |
| | - | US- | | | | |
| | - | US- | - | | | |
| - | | US- | | | | |
| | | US- | | | · · · · · · · · · · · · · · · · · · · | |
| | | US- | | | | |
| | | US- | | 4.0 | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|--------------|---|---------------------|--|---|----------------|--|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | | |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | T ⁶ | | |
| | | | | | | | | |
| ·· | <u> </u> | | | | | | | |
| | — | | | | | - | | |
| | 1 | | | | | | | |
| | | | | | | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. * Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, inctuding gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Substitute for form 1449/PTO | | | | Complete if Known | | |
|-----------------------------------|------------------------|---------------|---------|------------------------|-------------------|--|
| | | | | Application Number | 10/695,577 | |
| INFO | DRMATION | DIS | CLOSURE | Filing Date | October 28, 2003 | |
| STA | STATEMENT BY APPLICANT | | | First Named Inventor | Edward R. Chapman | |
| (Use as many sheets as necessary) | | | | Art Unit | 1645 | |
| (Use as many sneets as necessary) | | Examiner Name | N/A | | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 960296.99004 | |

| Examiner | Cite | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of | |
|-----------|------------------|---|----------------|
| Initials* | No. ¹ | the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | M. Fukuda, et al., "Inositol-1,3,4,5-tetrakisphosphate Binding to C2B Domain of IP4BP/Synaptotagmin II," J. Biol. Chem. 269(46):29206-29211, 1994. | |
| | | J. T. Littleton and H. J. Bellen, "Synaptotagmin Controls and Modulates Synaptic-vesicle Fusion in a Ca2+-dependent Manner," TINS 18(4):177-183, 1995. | |
| | | W. D. Matthew, et al., "Identification of a Synaptic Vescile-specific Membrane Protein with a Wide Distribution in Neuronal and Neurosecretory Tissue," J. Cell Biol. 91:257-269, 1981. | |
| | | Y. Shoji-Kasai, et al., "Neurotransmitter Release from Synaptotagmin-deficient Clonal Variants of PC12 Cells," Science 256(5065):1820-1823, 1992. | |
| | | | |
| | | | |
| | | | |
| | | | |
| _ • | | | |
| | | | |

| Examiner | Date | |
|-----------|----------------|--|
| Signature | Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.